

FACE VERIFICATION:
USING LOCAL FREQUENCY
BANDS

C H R I S M C C O O L (I D I A P)

AN OVERVIEW

- ✿ Face Recognition and Verification: a brief overview....
- ✿ Face Verification using GMM and a Parts-Based approach
- ✿ Extending the GMM Parts-Based approach: by applying spatial and frequency decomposition
- ✿ Where to from here?

FACE RECOGNITION

WHAT IS IT?

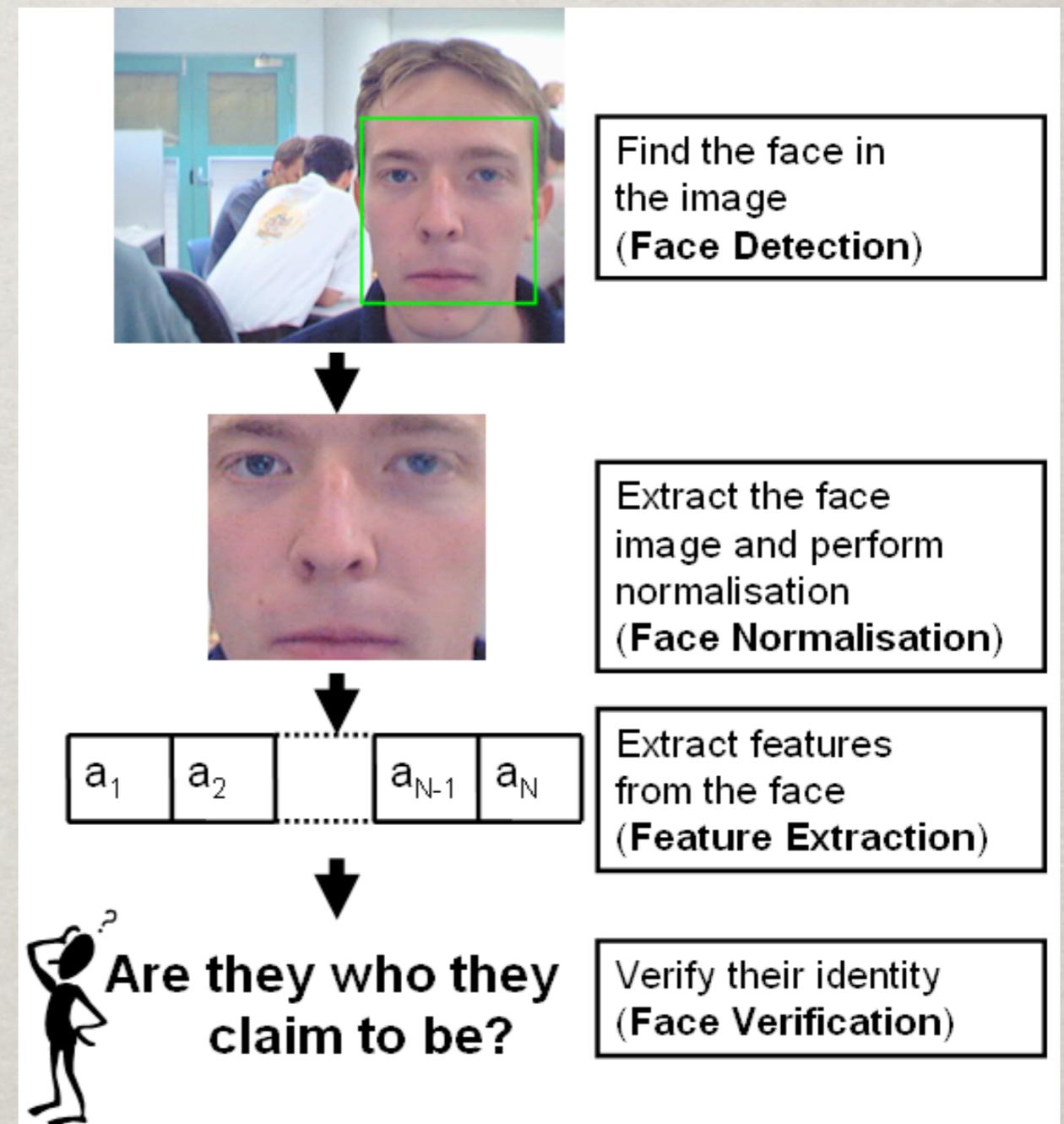
FACE RECOGNITION

- ✻ When I think of face recognition I think of security guards looking at my driver's licence
- ✻ Or immigration officials looking at my passport photo
- ✻ So we know that humans can do this but how can we get a computer to automatically recognise someone's face?

FACE RECOGNITION

☼ Two basic steps:

- ☼ **Face Detection:** find the face (or faces) in an image
- ☼ **Face Verification:** match the features to the model of the ID they are claiming to be



FACE VERIFICATION

- ☼ Face Verification:

- ☼ An input image is supplied along with who they claim to be (a claimed ID)
- ☼ We then match the input to the template or model of this claimed ID
 - ☼ This is a 1-1 match
- ☼ We then compare the match (a score) against a threshold to accept or reject



↓
Claiming to be President
Bush...



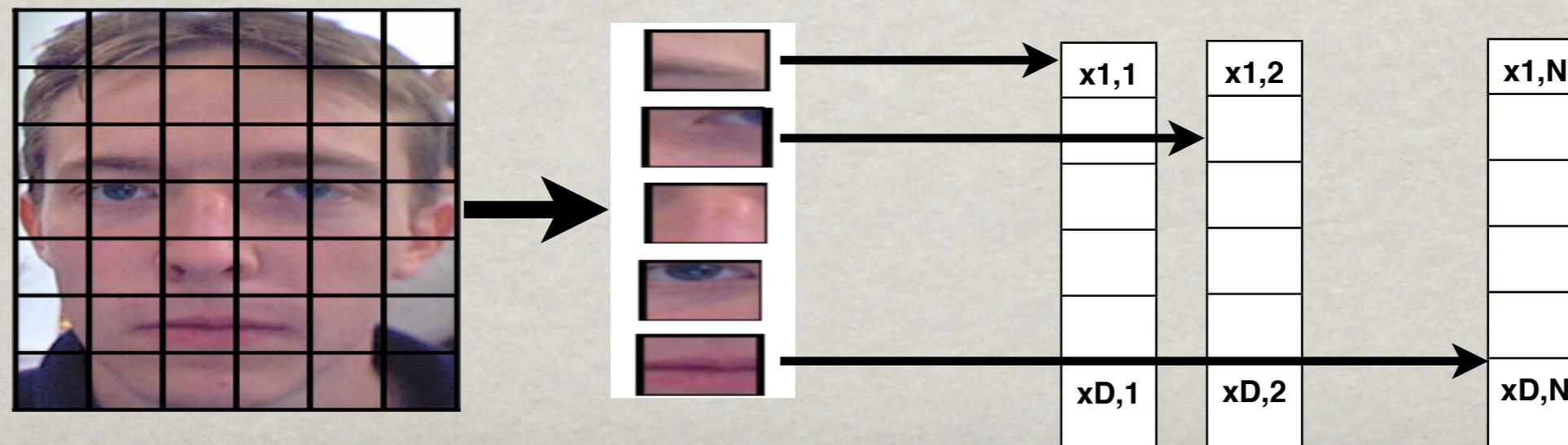
↓
**Are they who they
claim to be?**

FACE VERIFICATION

- ✱ Many methods have been proposed to perform face verification:
 - ✱ For obtaining features from a face people have proposed techniques such as:
 - ✱ Principal Component Analysis, Linear Discriminant Analysis, Independent Component Analysis, Discrete Cosine Transform (DCT), Gabor Wavelets
 - ✱ For classifying these features people have proposed many techniques including:
 - ✱ Distance or Similarity Measures, Support Vector Machines, Neural Networks, Gaussian Mixture Models, Hidden Markov Models
- ✱ Out of all the possibilities there is an interesting paradigm (that is also quite successful) called the GMM Parts-Based approach

GMM PARTS-BASED APPROACH

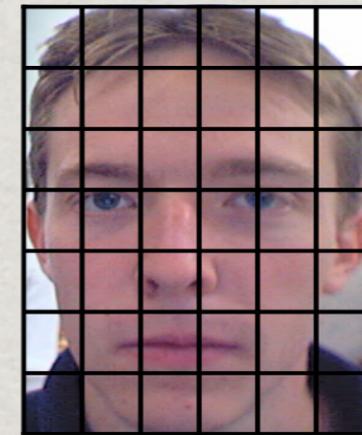
- ✿ Interesting: multiple feature vectors are obtained from a single face image
- ✿ The face is divided into blocks: DCT feature vectors are obtained from each block and treated independently
 - ✿ Getting local frequency information
- ✿ The feature vectors are then modelled with a Gaussian Mixture Model
 - ✿ Trying to describe the probability density function (pdf) of these features



FACE VERIFICATION

✱ Important Aspects

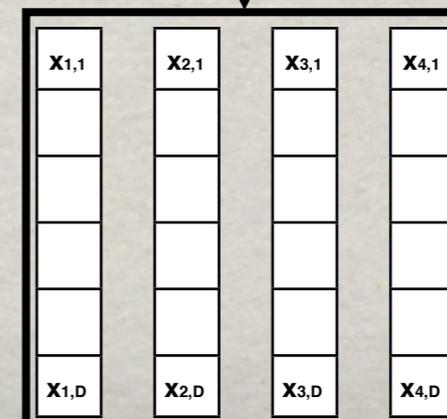
- ✱ Each block is treated as an independent observations of the same signal/object
- ✱ This gives us many observations from a single image
- ✱ This method is performing a spatial decomposition of the face



Divide the face into blocks



Treat each block as a separate observation



$x_{1,1}$	$x_{2,1}$	$x_{3,1}$	$x_{4,1}$
$x_{1,D}$	$x_{2,D}$	$x_{3,D}$	$x_{4,D}$

A table with 4 columns and 7 rows. The top row contains labels $x_{1,1}$, $x_{2,1}$, $x_{3,1}$, and $x_{4,1}$. The bottom row contains labels $x_{1,D}$, $x_{2,D}$, $x_{3,D}$, and $x_{4,D}$. The middle five rows are empty, representing feature vectors for each block.

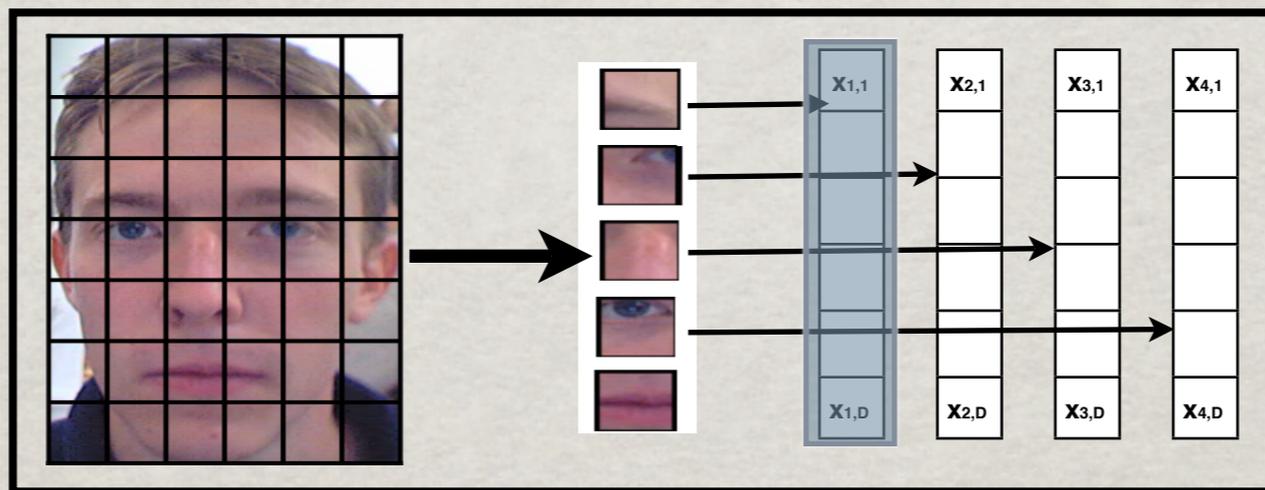
Obtain the feature vectors from each block

**EXTENDING THE
PARTS-BASED
APPROACH**

**SPATIAL AND FREQUENCY
DECOMPOSITION**

LOCAL FREQUENCY BAND APPROACH

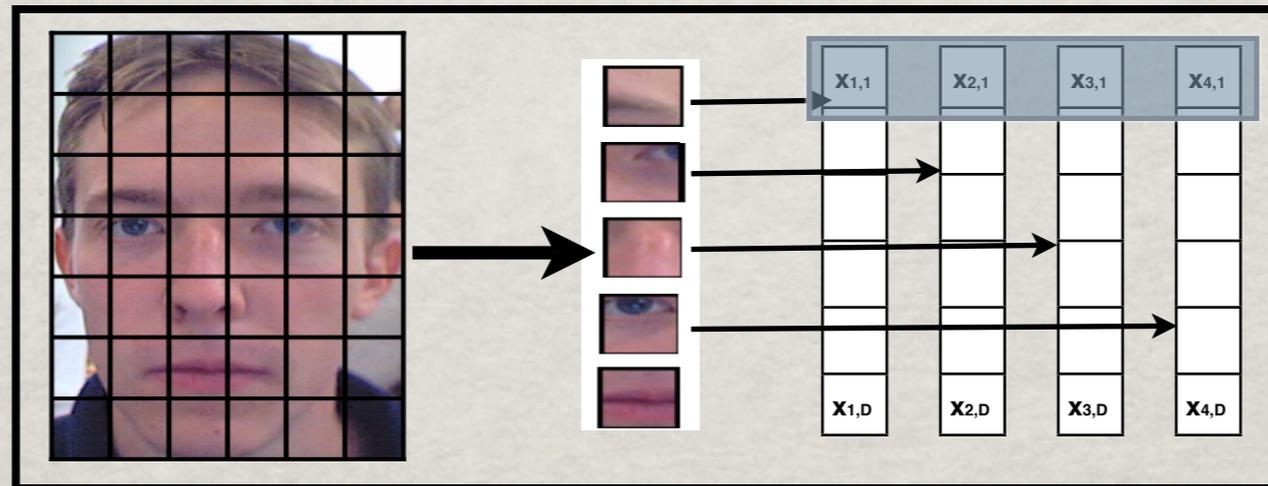
- ✿ The original story was that we obtained a feature vector from each block



- ✿ Each feature vector is a frequency response
 - ✿ Obtained using the Discrete Cosine Transform (DCT)

LOCAL FREQUENCY BAND APPROACH

- What happens if we treat the frequency response separately?

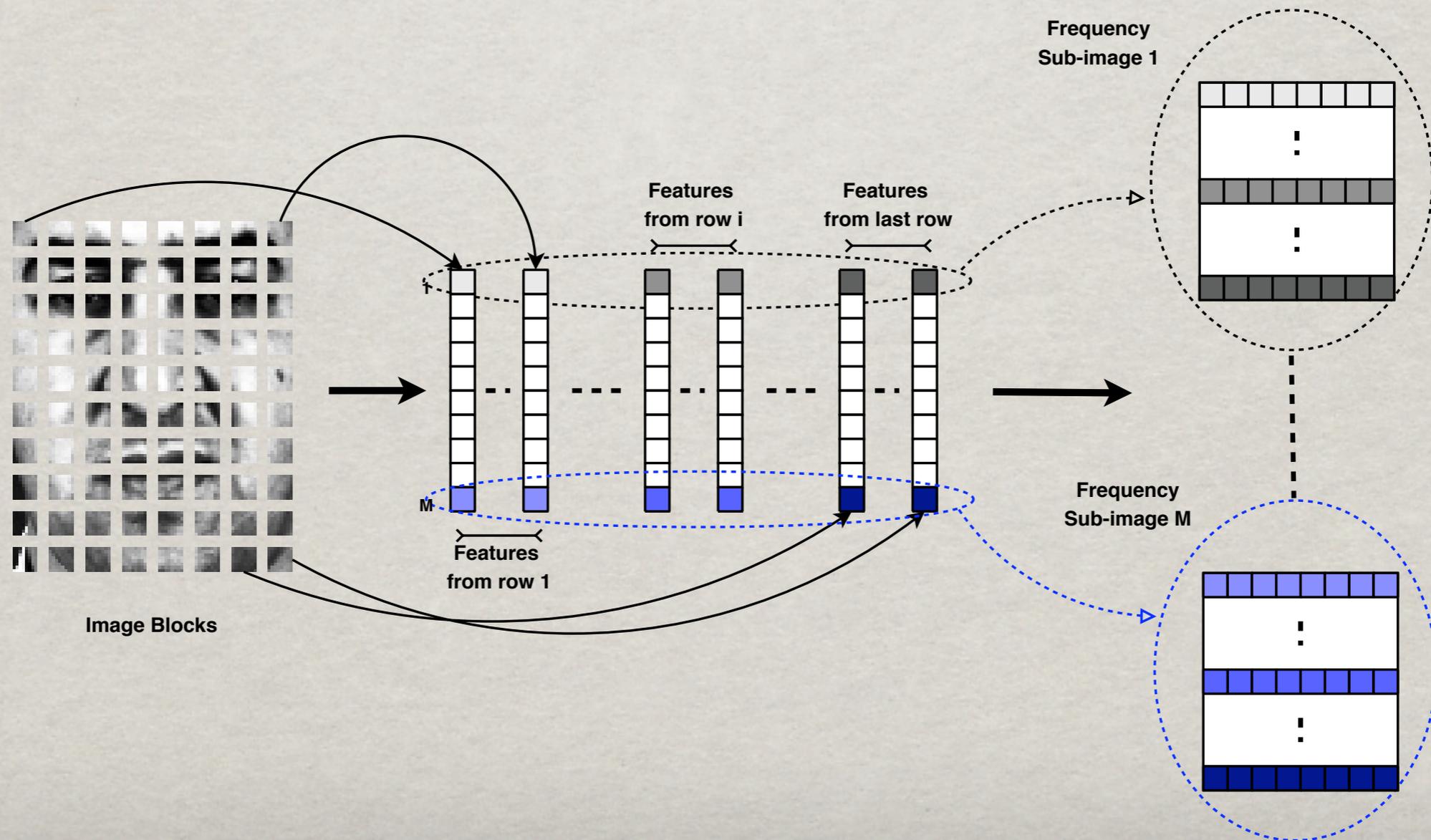


- They could be used to rebuild a set of images which now represent the local frequency response

LOCAL FREQUENCY BAND APPROACH

- Building a set of frequency images

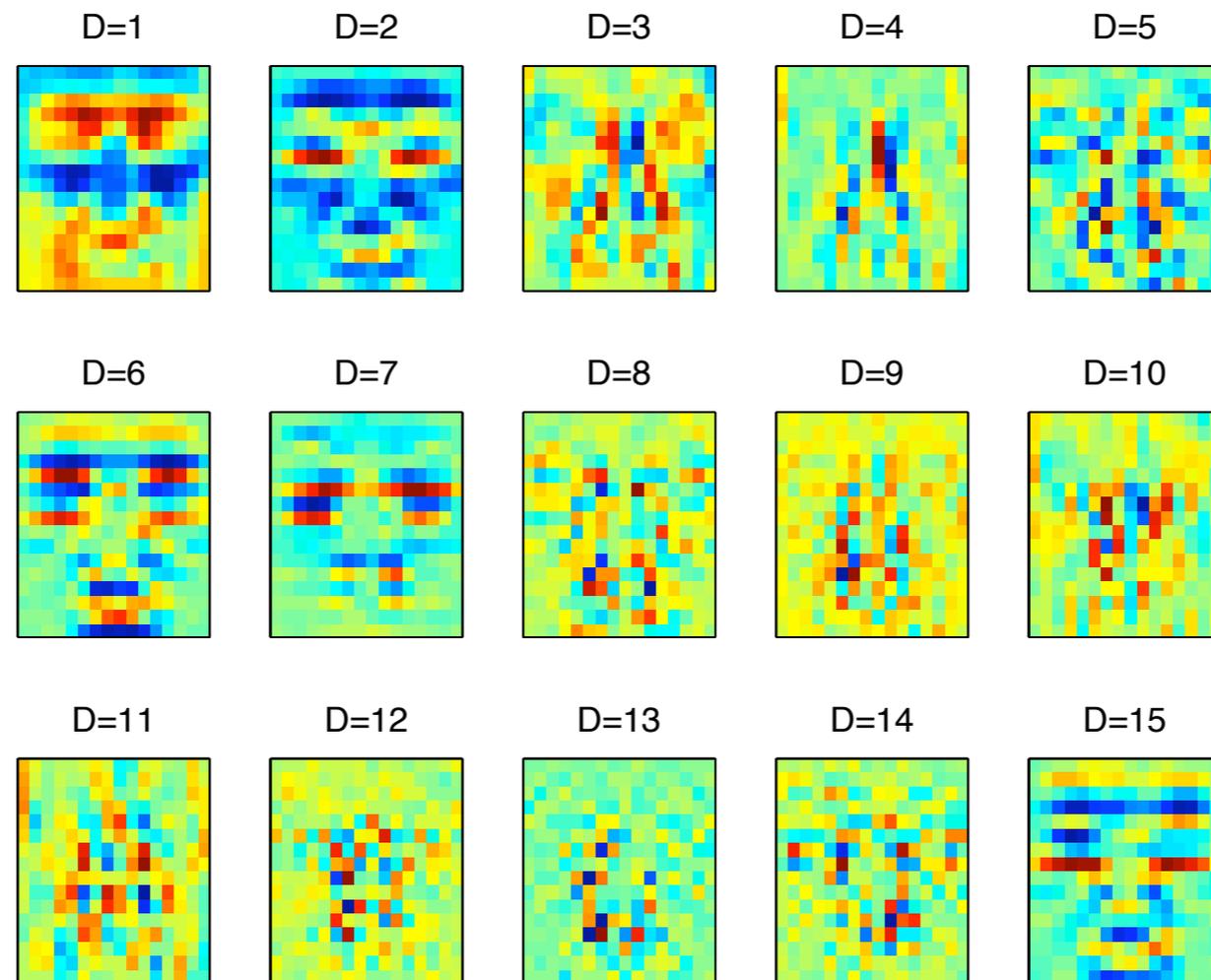
- From a set of local frequency responses



LOCAL FREQUENCY BAND APPROACH

☀ To explain this it will help to show graphically what I mean

Below are the average of DCT sub-images for 15 frequencies



LOCAL FREQUENCY SUBBAND APPROACH

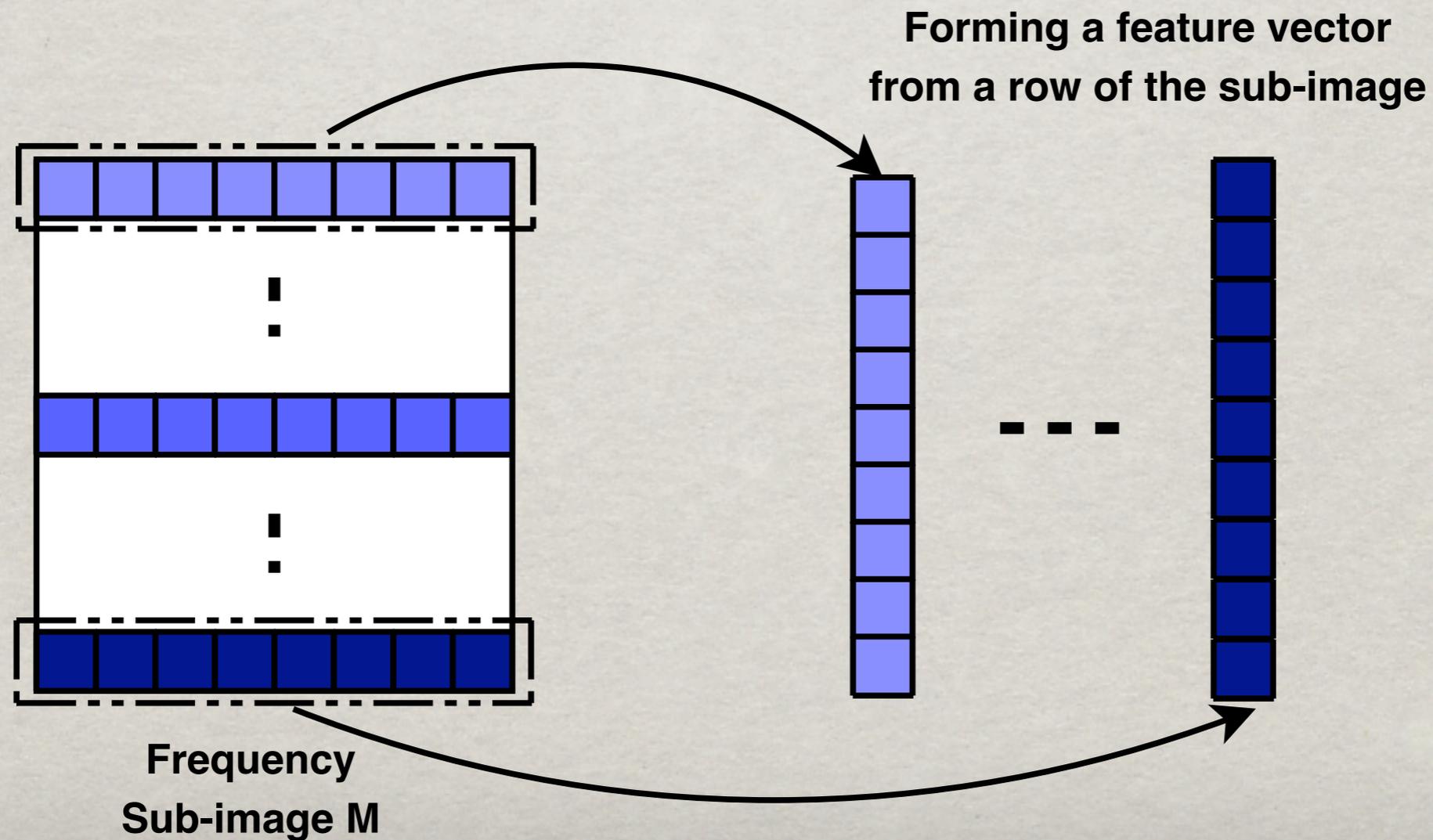
- ✱ A similar Parts-Based approach is applied to these frequency sub-images
 - ✱ Features are obtained from these sub-images
 - ✱ These features are used to derive a GMM classifier for each frequency sub-image
- ✱ There are two issues to deal with here:
 - ✱ How do we get feature vectors from the frequency sub-image?
 - ✱ How do I combine the information from each classifier?

LOCAL FREQUENCY SUBBAND APPROACH

- ✻ Extracting feature vectors from the sub-band images:
 - ✻ form a feature vector along a row of the frequency sub-image
 - ✻ form a feature vector along a column of the frequency sub-image
 - ✻ form a feature vector from blocks of the frequency sub-image

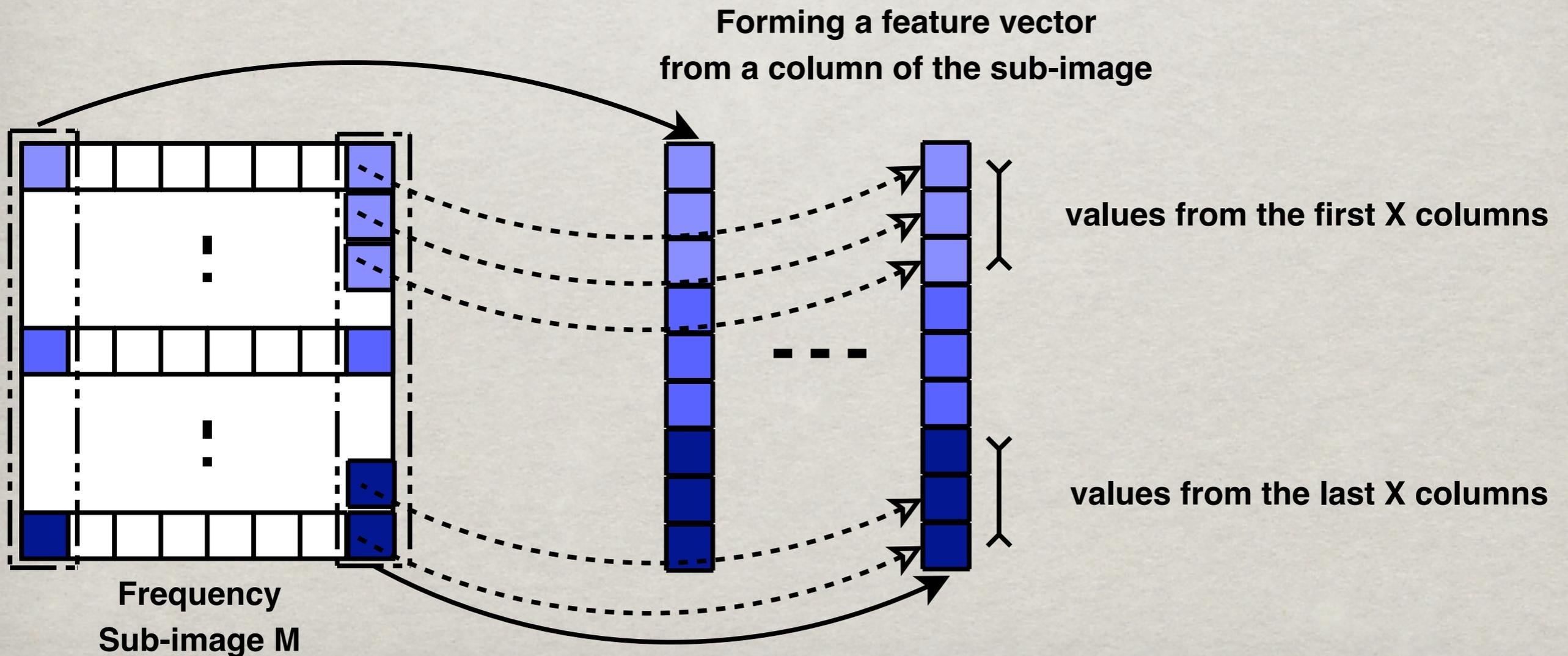
ROW-BASED FEATURE VECTORS

- ✻ Forming a set of feature vectors across the row of a frequency sub-image



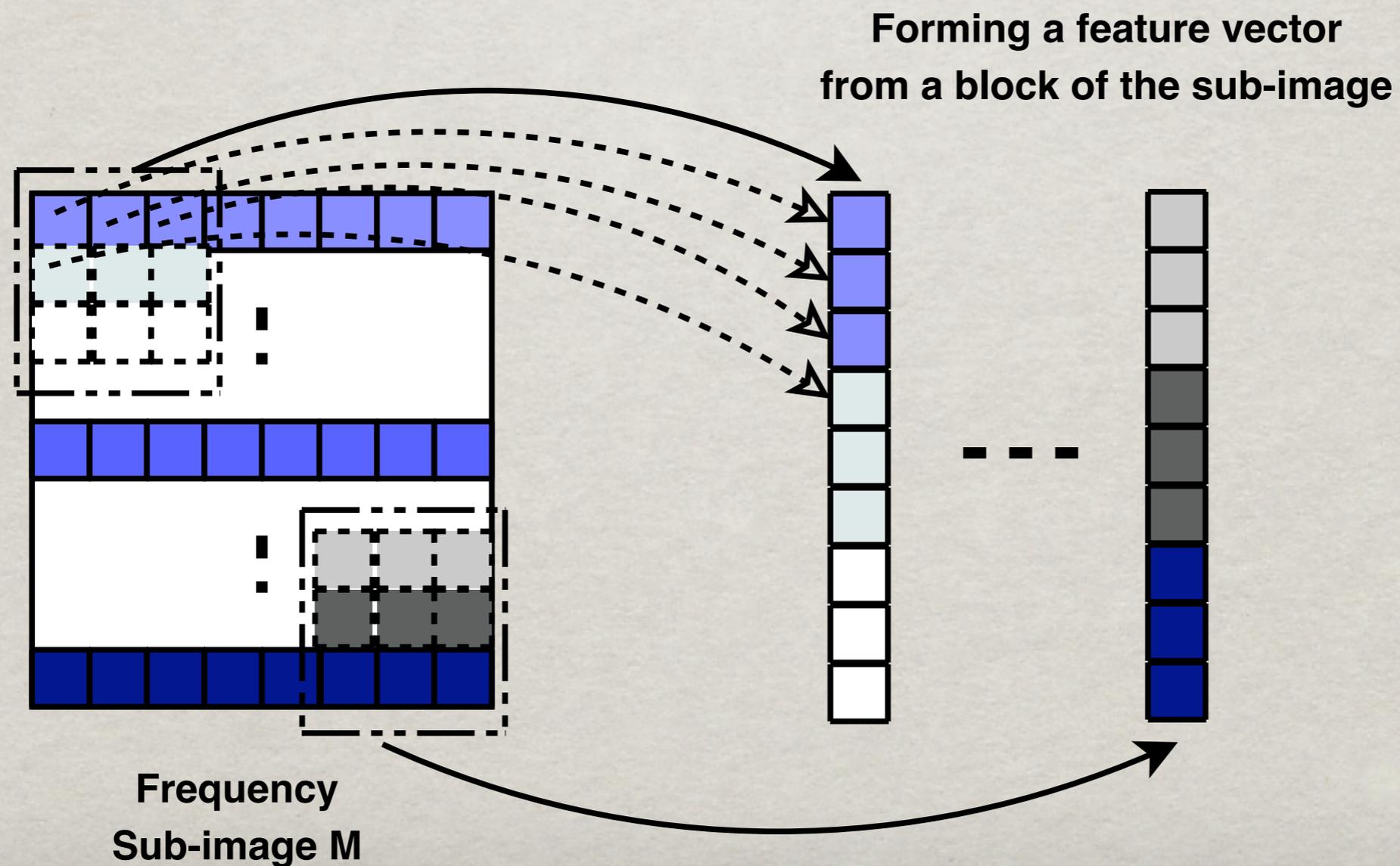
COLUMN-BASED FEATURE VECTORS

- ✻ Forming a set of feature vectors across the column of a frequency sub-image



BLOCK-BASED FEATURE VECTORS

- ✱ Forming a set of feature vectors from blocks of a frequency sub-image



CLASSIFIER FUSION

- ✱ Fusion was performed using weighted fusion
 - ✱ It's robust to estimation errors and is a relatively well used method for fusion
 - ✱ The weights are learnt on the tuning data set using linear logistic regression

$$C_{fused} = \sum_{i=1}^D \beta_i C_i$$

RESULTS

- ✻ This method was tested on BANCA database
 - ✻ ~6,500 images, several well defined protocols
- ✻ In this presentation we only present results for P protocol
- ✻ We compare the performance of manual and automatic eye locations as well
- ✻ Performance: Average Half Total Error Rate (HTER)
(Average False Acceptance Rate + Average False Rejection Rate)/2

RESULTS

- ✿ The first few results are comparing the sub-band approaches against one another
 - ✿ Manual Eye Locations to get an idea of “optimal” performance
 - ✿ Automatic Eye Locations to get an idea of “real” performance

	Manual Eye Locations	Automatic Eye Locations
Baseline GMM	26.59%	27.84%
Row Features	19.73%	26.58%
Block Features	18.05%	21.57%
Column Features	<u>14.85%</u>	<u>16.62%</u>

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The performance degrades quite badly when compared to manual eye locations
~3-7% worse

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The performance is relatively stable
~1-2% worse

**CONCLUSIONS AND
FUTURE WORK...**

CONCLUSIONS

- ✿ Extended the GMM Parts-Based approach: by applying spatial and frequency decomposition
 - ✿ Obtained significant improvements
 - ✿ The work for manual and automatic eye locations
- ✿ Where to from here?
 - ✿ Perhaps this should be extended to be convolution (a pixel by pixel formation of the sub-band images) and then try to obtain features from these images

